

2345 Grand Boulevard **Suite 2800** Kansas City, Missouri 64108-2684 (816) 292-2000, Fax (816) 292-2001

RECEIVED CENTRAL FAX CENTER NOV 1 6 2006

www.lathropgage.com

Direct Dial Number: (816) 460-5308

10851 Mastin Blvd. Building 82, Suite 1000 Overland Park, KS 66210-1669 (913) 451-5100, Fax (913) 451-0875

The Equitable Building, Suite 1300 10 South Broadway St. Louis, MO 53102-1708 (314) 613-2500, Fax (314) 613-2550

314 East High Street Jefferson City, MO 65101 (573) 893-4336, Fax (573) 893-5398

230 Park Avenue **Suite 1847** New York, NY 10169 (212) 850-6220, Fax (212) 850-6221

370 17th Street Suite 4650 Denver, CO 80202-5607 (720) 931-3200, Fax (720) 932-3201

1845 S. National Springfield, MO 65808-4288 (417) 886-2000, Fax (417) 886-9126

4845 Pearl East Circle Suite 300 Boulder, CO 80301 (720) 931-3000, Fax (720) 931-3001

Suite 1050 Franklin Square 1300 Eye Street, N.W. Washington, D.C. 20005 (202) 962-0032, Fax (202) 962-0357

November 16, 2006

From: Jerome R. Smith

Matter No.: 409297

To:	Company:	Fax Number:	Phone Number:
Mail Stop: Amendment	U.S. Patent Office	(571) 273-8300	
Courtesy Copy to Examiner Tam Nguyen	U.S. Patent Office	(571) 273-4979	

Number of Pages Transmitted (including this cover sheet): 13

Message:

Kenneth W. Johnson Applicant(s):

Group Art Unit

3764

Application No. 10/687,207

Examiner:

Tam N. Nguyen

Filed:

October 16, 2003

Confirmation. No.

7144

For:

Rotary Rehabilitation

Apparatus And Method

If you have a problem receiving this facsimile, please call: (816) 292-2000

Fax Attendant:

### CONFIDENTIALITY NOTE:

The information in this facsimile message ('fax') is sent by an attorney or his/her agent, is intended to be confidential and for the use of only the individual or entity named above. The information may be protected by attorney/client privilege, work product immunity or other legal rules. If the reader of this message is not the intended recipient, you are notified that retendon, dissemination, distribution or copying of this fax is strictly prohibited. If you receive this fax in error, please notify us immediately by telephone and return it to the address above. Thank you.

# RECEIVED CENTRAL FAX CENTER

NO. 4361 P. 2

NOV 1 6 2006

CERTIFICATE OF FACSIMILE TRANSMISSION 37 CFR 1.8 Applicant(s): Kenneth W. Johnson			Matter No. 409297	
Serial No.	Filing Date	Examiner	Group Art Unit	
10/687,207	October 16, 2003	Tam N. Nguyen	3764	
Invention Rotary	Rehabilitation Apparatus And	i Method		
(1 page); Replacement	Drawing Sheet With Figs. 14 ment, c/o the Commissioner	(8 pages); Annotated Drawin, A-1D (1 page); are being sent of For Patents, P.O. Box 1450,  Sandy McKay	via facsimile transmission	
		Signature of Praesificer  (571) 273-8300		
		Facsimile No.		

Typed or printed name

Approved for use through 07/S1/2008. OMB 0551-0031
U.S. Petent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

### Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/687,207 Application Number TRANSMITTAL RECEIVED October 16, 2003 Filing Date FORM CENTRAL FAX CENTER Kenneth W. Johnson First Named Inventor 3764 6 2006 Art Unit Tam N. Nguyen **Examiner Name** (to be used for all correspondence after initial filing) 409297 Total Number of Pages In This Submission Attorney Docket Number ENCLOSURES (check all that apply) After Allowance Communication to TC Drawing(s) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment / Reply (Appeal Notice, Brist, Reply Brief) Petition to Convert to a Proprietary information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) Extension of Time Request (please identify below): Certificate of Facsimile Request for Refund Facsimile Cover Sheet Express Abandonment Request CD, Number of CD(s) ☐ Landscape Table on CD Information Disclosure Statement Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm LATHROP & GAGE LC 2186 /A Signature Printed Name Jerome R. Smith. Jr. Reg. 35,684 Date November 16, 2006 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confiderdally is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be earl to the Chief (information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

November 16, 2006

If you need assistance in completing the form, call 1-800-PTO-8199 and select option 2.

Sandy McKay

### RECEIVED CENTRAL FAX CENTER

NO. 4361

NOV 1 6 2006

PATENT

Attorney Docket No.: 409297 Sent Via Facsimile: (571) 273-4979

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Kenneth W. Johnson

Group Art Unit

3764

Application No. 10/687,207

Examiner:

Tam N. Nguyen

Filed:

October 16, 2003

Confirmation. No.

7144

For:

Rotary Rehabilitation

Apparatus And Method

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

### SUPPLEMENTAL AMENDMENT AND INTERVIEW SUMMARY

Sir:

In response to the Amendment of August 22, 2006 and the Telephonic Interviews with Examiner Nguyen on November 14, 15 and 16, 2006, please amend the application as follows, and consider the remarks set forth below.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims begin on page 3 of this paper.

Amendments to the Drawings begin on page 6 of this paper and include both an attached replacement sheet and an annotated sheet showing changes.

Remarks/Arguments begin on page 7 of this paper.

Amendments to the Specification and Claims are indicated by additions being underlined (\_\_) and deletions in strikethrough format, or bracketed ([]), where strikethrough format does not show the deletion sufficiently.

An Appendix including amended drawing figures is attached following page 8 of this paper.